Modeling the Effect of Preharvest Weather Conditions on the Incidence of Soggy Breakdown in ‘Honeycrisp’ Apples

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Abstract. ‘Honeycrisp’ is a relatively new apple cultivar highly susceptible to physiological disorders, such as soggy breakdown. The overall objective of this study was to identify preharvest weather parameters that influence the incidence of soggy breakdown over the different phases of fruit development. Using weather data and evaluation of fruit quality from three sites in Ontario, two sites in Quebec, and one site in Nova Scotia from 2009 to 2011, and data from four sites in Ontario from 2002 to 2006, a model for soggy breakdown incidence (SBI) was developed to predict the level of susceptibility in ‘Honeycrisp’ apples. This model uses primarily two weather variables during the last phase of fruit development [91 days from full bloom (DFB) to harvest] to accumulate an SBI index during the growing season, from full bloom to harvest. Cool (temperature <5 °C) and wet conditions (precipitation >0.5 mm) during this last phase resulted in increased soggy breakdown susceptibility levels. The predictions of the SBI model resulted in 68% of well-estimated cases (threshold of ±5%) (RMSE = 6.45, EF = 0.28, E = −0.04).

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Soggy breakdown is a chilling-related disorder, which in worse cases forms a soft, brown, spongy tissue in the outer apple cortex. It is known to affect the quality of ‘Honeycrisp’ apples, a new variety that is gaining popularity because of its high firmness maintained during storage, good coloration, and balanced acidic/sweet taste (Watkins et al., 2004). Many factors have been related to soggy breakdown development in apples, such as advanced maturity at harvest, light crops, large fruit size, and low temperature in storage (Plagge and Maney, 1928; Watkins et al., 2004). The most frequently mentioned factors are weather conditions before fruit harvest, with cooler temperature and excess moisture near harvest time inducing soggy breakdown (Bramlage, 1993; Lachapelle et al., 2013; Meheriuk et al., 1994; Moran et al., 2009; Plagge and Maney, 1928; Snowdon, 1990).

Fruit quality analyses at harvest are commercially used indicators of maturity level before storage. However, studies on their relationship with SBI in ‘Honeycrisp’ apples are limited because fruit quality attributes of this variety (internal ethylene concentration, starch index, firmness, and soluble solid content) were found to show no consistent patterns among years (Wargo and Watkins, 2004). The objective of this study was to evaluate weather conditions during the growing season that might influence the susceptibility of ‘Honeycrisp’ apples to the development of soggy breakdown. Using multiyear weather data from a range of locations in eastern Canada, weather patterns were examined during the different phases of fruit development and linked to SBI.

A model exists for the risk index of low-temperature disorders (LTD-riskV2), which was mainly built from observations of vascular browning in ‘McIntosh’ and ‘Cortland’ apples, developed with weather data from five regions in Quebec from 1977 to 1995, and implemented in the Computer Center for Agricultural Pest Forecasting (CIPRA) software (Bourgeois et al., 2014b). This model mainly uses functions that include maximum and minimum temperatures and solar radiation during the months of July and August. A daily risk index is calculated starting from the phenological stage of fruit set, providing prediction in real time of vascular browning risk during the growing season. Disorder risk predictions from this model, using weather data, were conducted for comparison with predictions from the soggy breakdown model developed in this study. Accurate predictions for soggy breakdown could be used by producers of ‘Honeycrisp’ apples to help establish their marketing and storage strategies considering regional and seasonal susceptibility to this disorder.

Materials and Methods

‘Honeycrisp’ apples were harvested from three commercial orchards in Ontario (Newcastle, Norfolk County, and Georgian Bay), one orchard in Nova Scotia (Kentville), and two orchards in Quebec (Franklin and Île d’Orléans), from 2009 to 2011. For each orchard location, two boxes containing about 60 fruits each were stored at 3 °C for 3 to 4 months at the Storage Research Laboratory in Simcoe (Ontario). Apples from Ontario and Quebec were transported and stored in the laboratory on the same day as harvest, and apples from Nova Scotia were transported within 3 d via refrigerated truck at ≈3 °C. Data were also collected from an Ontario site (Norfolk Co.) from 2002 to 2006, as well as from four nearby sites (within 20 km). For each orchard location, two boxes containing about 60 fruits each were stored the same day as harvest, although they were placed at 0.5–1.0 °C for 4 to 5 months to induce soggy breakdown.

Fruit quality analyses. Fruit quality attributes were evaluated at harvest and after 3 to 4 months in storage using the same protocol described by DeEll and Ehsan-Moghadam (2010). The optimum harvest time for each site was estimated by fruit color, a change of background color from green to yellow, and starch index between 5 and 7, as determined by the Cornell Starch Chart (Blanpied and Silsby, 1992). At harvest, subsamples were analyzed for maturity. After 3 to 4 months in storage, 20 apples per site were evaluated for fruit quality, including incidence of soggy breakdown, whereas the remaining fruit...
(≈30 per location) were evaluated only for incidence of soggy breakdown.

Internal ethylene concentration was evaluated by extracting a 3-mL gas sample from the core of each apple using a syringe and then injecting the sample into a Varian CP-3380 Gas Chromatograph (Varian Canada Inc., Mississauga, ON) (DeEll and Ehsanmoghaddam, 2010). Starch index was determined using the Cornell generic starch–iodine index chart for apples. Apples were cut in half at the equator and rated on a scale of 1 to 8, where 100% starch staining is 1 and 0% is 8. Fruit firmness was evaluated on opposite sides of each apple using the electronic Fruit Texture Analyzer fitted with an 11.1 mm tip (Güss Manufacturing, Strand, South Africa), after removing the peel on each side. Titratable acidity (expressed as mg equivalents of malic acid per 100 mL of juice) was determined by titrating a 2-mL juice sample (extracted from composite samples of segments from all apples used for firmness testing) with a 0.1 N NaOH solution to an endpoint of pH 8.1 (as indicated by phenolphthalein). Soluble solid concentration was determined using a digital BRX-242 Refractometer (Atago Co., Ltd, Japan). The apples were cut in half at the equator, and the incidence was determined by the presence or absence of soggy breakdown symptoms. Percentage of incidence was also calculated for each orchard location by presence or absence of the disorder, regardless of severity.

Monitoring and management of weather data. Weather data were collected from the Quebec Apple Network or the National Climate Data and Information Archive Network according to their proximity to the study orchards (Environment Canada, 2010; Sarrazin, 2006). For the two sites in Quebec, weather stations were located directly in the orchards. For the three sites in Ontario, weather stations were selected in Delhi (Norfolk Co.), Cobourg (Newcastle), and Collingwood (Georgian Bay). In Nova Scotia, a weather station located directly in Kentville was selected. Information recorded from these stations included the following: hourly minimum, maximum and mean temperatures (°C), relative humidity (RH) (%), and hourly and daily accumulated precipitation (mm).

Data management and statistical analyses. Following the method used in the study of Moran et al. (2009), weather data from all weather stations were divided into phases of 30 d corresponding to apple growth development, according to the number of DFB until harvest. For each phase of fruit development and each location, calculations were made to determine the number of hours with RH >85%, number of hours with mean air temperature <5 to 30 °C by steps of 5 °C, number of days with precipitation >0.5 to 2.5 mm by steps of 0.5 mm, number of days with precipitation >5.0 and >6.0 mm, the average maximum air temperature, and the accumulated precipitation per year.

The GLM and the ARIMA procedures in the SAS 9.2 software (SAS Institute, Cary, NC) were used to verify the interannual and interregional variations in the weather conditions and to detect trends in daily weather data. A principal component analysis (PCA) using the XLSTAT software (Addinsoft, Paris, France) was used to detect relationships between weather parameters and SBI. The REG procedure and the STEPWISE selection were used to analyze the effects of fruit quality attributes and weather conditions on SBI. These tests were performed in the SAS 9.2 Software (SAS Institute, Inc., Cary, NC).

Modeling of apple phenological development. To introduce a phenological prediction index into the SBI prediction model (SBI$_{dyn}$), described in the next section, weekly phenological development data from 10 ‘Honeycrisp’ apple trees in the orchards of the experimental farm of Agriculture and Agri-Food Canada in Frelighsburg (QC) were also taken in 2010 and 2011. As for fruit quality analyses, the optimum harvest date for each site was estimated by fruit coloration, a change of background color from green to yellow, and starch index between five and seven, as determined by the Cornell Starch Chart. Weather data from a station located in that orchard were included in the CIPRA software. A base temperature of 5 °C, optimum temperature between 22 and 25 °C, and a maximum temperature of 36 °C were used. The apple phenology model is divided into many developmental phases (e.g., budbreak, foliar development, flowering, and fruit development) and predicts daily BBCH (Biologische Bundesanstalt, Bundessortenamt and Chemische Industrie) phenological stages (Meier et al., 2001) from budbreak to harvest (Bourgeois et al., 2014a).

Since only full bloom and harvest dates were known for each site and year of data in this study, it was important to link the number of DFB to their related phenological stages using the BBCH phenological scale. The following asymptotic equation (Eq. [1]) was derived empirically and implemented in TableCurve® 2D 5.01 (SYSTAT Software Inc., CA, 2002) to estimate the parameters that will best fit the BBCH stages to their equivalent in DFB.

\[
BBCH = 65 + (A - 65) \times \left(1 - e^{-B(DFB-C_0)}\right)
\]

In Eq. [1], parameter $A$ is the maximum value of BBCH, parameter $B$ is the rate of increase of the BBCH value, and $C$ is a parameter that allows horizontal translocation of the curve. In the case of apples from Frelighsburg in 2010 and 2011, values for the parameters were the following: $A = 82.42$, $B = 0.015$ (2010) or 0.0165 (2011), and $C = 0$, with an $R^2$ value of 0.97 for the curve fitting. The resulting BBCH values corresponded to specific values of DFB as follows: 0 DFB at BBCH = 65 (full bloom), 30 DFB at BBCH = 71 (fruit size up to 10 mm), 60 DFB at BBCH = 75 (fruit about 50% final size), and 90 DFB at BBCH = 78 (fruit about 80% final size).

For each harvest site from 2002 to 2006 and 2009 to 2011, the same asymptotic function was fitted to the two measured BBCH stages: full bloom (65) and harvest (80). Values of parameter $B$, ranging from 0.0140 to 0.0174, were obtained by fitting the exact date of harvest for each case.

Modeling susceptibility to soggy breakdown disorder. The percentage of apples affected by soggy breakdown per region was recorded, and hourly weather data from the closest weather stations were selected and implemented in the CIPRA software. The next step was to determine the relationship between specific environmental parameters during the growing season and the occurrence of soggy breakdown to set levels of risks of developing soggy breakdown as the season progressed. Relative weights were assigned to each weather parameter, for each development phase, according to results from the stepwise regression analyses.

The prediction model for SBI$_{dyn}$ was based on the following basic equations:

\[
SBI_t = SBI_{t-1} + \Delta SBI
\]

\[
\Delta SBI = SBI_{max} \times f(PhenoT, Temp) \times f(PhenoP, Prec)
\]

Temperature ($Temp$) and precipitation ($Prec$) were initially set to have equivalent relative levels of importance (i.e., 0 to 1) in determining the susceptibility to soggy breakdown (Fig. 1B and D). Two functions expressing limits associated with phenology for temperature and precipitation ($PhenoT$ and $PhenoP$) were included in the model (Fig. 1A and C) because their impact might occur at different phenological stages. Parameter SBI$_{max}$ represents the maximum daily increment of SBI that occurs at optimum temperature and precipitation, and $\Delta SBI$ is the daily variation of SBI, depending on weather conditions recorded that day, which is added to the SBI of the previous day (SBI$_{t-1}$). A change in SBI from flowering to harvest time is created by this dynamic procedure.

Temperature and precipitation functions were established using thresholds determined from statistical analyses. Precipitation function ($f(Prec)$) was divided into two relative weights associated with low or high precipitation levels (Fig. 1D). Temperature function ($f(Temp)$) was divided into three levels using a linear relation between relative weights and minimum and optimum set temperatures as follows: temperatures between 5 and 20 °C were associated with decreasing relative weights according to the following equation:

\[
f(Temp) = \left(\frac{T_{opt} - T^oC}{T_{opt} - T_{min}}\right)\]

where $T_{opt}$ is the optimum temperature of SBI change, and $T_{min}$ is the minimum temperature of SBI change, which depend on the phase of the growing season, and $T^oC$ is the measured temperature (Fig. 1B).
The SBI\textsubscript{dyn} model was conceptualized and implemented in Visual Basic Application (VBA) in MS Excel software to run with the different weather scenarios over the years and sites and to facilitate the calibration and sensitivity analyses for each parameter described in this section.

Comparisons between predictions from the soggy breakdown model developed in this study and predictions from the LTD-risk\textsubscript{v2} model developed at Agriculture and Agri-Food Canada (Bourgeois et al., 2014b) using the same sites and years of harvest and weather stations were also conducted.

**Calibration and sensitivity analyses for the SBI model.** A calibration method was used to determine values for each parameter in the model and to test every combination. Phenological parameters for temperature and precipitation functions were associated with a factor of importance fixed at 1.0 for growth phases that were highlighted in the regressions results (Fig. 1A and C). Outside these phases, parameters were varied from 0 to 0.9 in steps of 0.1 to verify their impact on the prediction of SBI. For the temperature and precipitation functions $f($\text{Temp})$ and $f($\text{Prec})$, a factor of importance was fixed at 1.0 for temperature $\leq 5$ °C (Fig. 1B), the lower threshold temperature for development of apple trees, and accumulated precipitation $>0.5$ mm (Fig. 1D). For each combination of values assigned to each parameter tested, the SBI\textsubscript{max} value was adjusted to obtain the exact mean value of SBI for all regions and years.

To compare accuracies of predicted SBI between combinations, the following statistical criteria were used: root mean square error (RMSE), which provides a measure of the magnitude of the difference; forecasting efficiency (EF), which gave a measure of the goodness of fit; and mean error (E), which presents a measure of the direction of the difference (Yang et al., 2000). Good predictions will have values as follows: RMSE close to zero, EF close to one, and E close to zero. The percentage of well-estimated ($\pm 5\%$ of observed SBI), overestimated, and underestimated cases of SBI was also calculated.

Sensitivity analyses were also conducted on each parameter that was fixed to a relative weight below 1.0, as shown in Fig. 1 (Param 1, 2, 3, 6, 7, 8, 9, and 11), maintaining all other parameters constant. The first goal of sensitivity analyses was to test the sensitivity of the mean value of the estimated SBI, for each site and year of harvest, to variations in parameters. This test indicates which parameters are more sensitive; hence, have a higher importance for their precision to predict accurate SBI, considering that parameters fixed at higher factor of importance (relative weight = 1) are truly the most important because sensitivity analyses were not conducted on them.

**Results and Discussion**

**Fruit quality attributes.** Fruit quality analyses at harvest are indicators of apple maturity and help set marketing strategies because of their impact on storage life. Apples in this study had fairly constant values of diameters (7.6–8.9 cm), firmness (56.9–80.2 N), and malic acid content (536–771 mg per...
100-mL of juice). There were large variations in blush (46.0% to 91.1%), internal ethylene concentration (0.1 to 70.7 ppm), starch index (5.1 to 8.5), and soluble solids content (11.7% to 14.4%) (Table 1).

The only parameter that varied significantly during storage was titratable acidity, the malic acid content, which has a logarithmic decline in relation with fruit development (Zhang et al., 2010). A regression analysis on the effect of fruit quality attributes at harvest with no relationship existed. The combined effects of these attributes at harvest with weather conditions during the growing season on the incidence of soggy breakdown are discussed in the section on regression analyses. The incidence of soggy breakdown ranged from 0% to 37% within the data set (Table 1).

### Table 1. Fruit quality analyses of ‘Honeycrisp’ apples from Ontario, Quebec, and Nova Scotia (Canada) at harvest and soggy breakdown incidence after 3 to 4 mo.

<table>
<thead>
<tr>
<th>Regions</th>
<th>Year of harvest</th>
<th>Full bloom date</th>
<th>Harvest date</th>
<th>Diameter (cm)</th>
<th>Blush (%)</th>
<th>Firmness (N)</th>
<th>Internal Ethylene Conc. (ppm)</th>
<th>Soluble Solid Conc. (%)</th>
<th>Malic Acid (mg/100 mL)</th>
<th>Starch Index (1-8)</th>
<th>Soggy breakdown incidence (%)</th>
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</thead>
<tbody>
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<td>Norfolk Co. (ON)</td>
<td>2004</td>
<td>11 May</td>
<td>10 Sept.</td>
<td>Nd</td>
<td>Nd</td>
<td>72.2</td>
<td>3.8</td>
<td>13.1</td>
<td>Nd</td>
<td>6.3</td>
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</tr>
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<td>Norfolk Co. (ON)</td>
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<td>11 May</td>
<td>10 Sept.</td>
<td>Nd</td>
<td>Nd</td>
<td>64.2</td>
<td>2.4</td>
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<tr>
<td>Norfolk Co. (ON)</td>
<td>2005</td>
<td>25 May</td>
<td>16 Sept.</td>
<td>Nd</td>
<td>Nd</td>
<td>66.9</td>
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<td>11.8</td>
<td>Nd</td>
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<td>16 Sept.</td>
<td>Nd</td>
<td>Nd</td>
<td>68.7</td>
<td>3.8</td>
<td>12.5</td>
<td>Nd</td>
<td>8.5</td>
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</tr>
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<td>16 Sept.</td>
<td>Nd</td>
<td>Nd</td>
<td>69.5</td>
<td>1.5</td>
<td>11.8</td>
<td>Nd</td>
<td>5.5</td>
<td>0.0</td>
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<td>11 Sept.</td>
<td>Nd</td>
<td>Nd</td>
<td>72.9</td>
<td>13.0</td>
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<td>Nd</td>
<td>6.5</td>
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<tr>
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<td>11 Sept.</td>
<td>Nd</td>
<td>Nd</td>
<td>65.7</td>
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<td>14 Sept.</td>
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<tr>
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<td>2009</td>
<td>15 May</td>
<td>23 Sept.</td>
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<td>91.1</td>
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<td>2009</td>
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<td>46.0</td>
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<td>72.5</td>
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<td>6 Oct.</td>
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<td>56.9</td>
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</table>

Nd = not determined.

1 Firmness values are the average of two sides.

2 According to the Cornell University generic starch–iodine index chart for apples.

### Interregional and interannual weather analyses.

Over all years combined, the sites of Newcastle (ON), Kentville (NS), Norfolk Co. (ON), and Ile d’Orléans (QC) and the years of harvest 2009 to 2011 were significantly different from the other sites and years of harvest included in this study. Precipitation conditions between these locations were significantly different for most comparisons, and temperature conditions were significantly different mostly for Kentville and Ile d’Orléans with lower temperature recorded from 91 DFB until harvest compared with the other sites in this study. The years from 2009 to 2011 had different precipitation and temperature conditions than the other years of harvest in this study. In 2010 and 2011, higher occurrences of days with precipitation were recorded over the phase of 31 DFB until harvest, and in 2009, the phase from 91 DFB until harvest recorded a greater number of hours of low temperature.

**Principal component analyses.** Based on the results of the PCA, correlated weather parameters were aggregated. The parameters of maximum average temperature were aggregated together because values for all phases associated with DFB varied in the same direction according to the factorial axes of the circle of correlations. From the PCA test, significant correlations were detected between SBI and the number of hours when mean air temperatures were <5, <10, <15, and <20 °C from 91 DFB until harvest (respectively, r = 0.598, 0.499, 0.492, and 0.481, for all P < 0.05).

Regression analyses with weather data as explanatory variables for soggy breakdown. Three weather variables were identified by the stepwise regression analysis, explaining a significant variability in SBI from the sites.
and years (Table 2). The selected regression model for soggy breakdown incidence regression model (SBI\textsubscript{reg}) was:

\[
SBI = -4.25 + 0.07 \text{Prec}_{91-DFB} -H + 0.44(T^\circ C < 5)_{91-DFB} -H \quad [5]
\]

\[(R^2 = 0.4820; P = 0.0003),\text{where Prec}_{91-DFB} -H\text{ is the accumulated precipitation from 91 DFB until harvest and } (T^\circ C < 5)_{91-DFB} -H\text{ is the number of hours when mean air temperature is } <5^\circ C \text{ from } 91 \text{ DFB until harvest.}\]

The estimation of SBI values with the SBI\textsubscript{reg} model including only weather conditions during the growing season is considered adequate, with 71% of the predictions located in the threshold of ±5% of the observed SBI (RMSE = 5.46, EF = 0.48, and \(E = -0.46\)).

Precipitation during the 91 DFB until harvest accounted for 12.5% of variation in SBI, whereas its values varied from 26.2 to 193.0 mm of accumulated precipitation for all the sites and years. The positive relationship between accumulated precipitation, low temperature between 91 DFB until harvest, and SBI implied a greater incidence in years with lower temperatures and more precipitation. Low temperature during this last phase before harvest accounted for 36% of the variability in SBI between the all sites and years. During 91 DFB until harvest, 0 to 40 h below 5 °C occurred.

Temperature and precipitation during the phase of fruit development just before harvest were related to the susceptibility of ‘Honeycrisp’ apples to soggy breakdown development later in storage. The phase of 3 to 4 weeks before harvest (BBCH stages 78 to 80) represents the most important month of the growing season, just before the climacteric rise of apples. According to the data set on phenological development of ‘Honeycrisp’ apples in 2010 and 2011, between BBCH stages 78 and 80, diameter varies between 67 and 88 mm, which represent 80% to 100% of the total final diameter.

Most of the SBI variability (47%) is explained by the two parameters in the SBI\textsubscript{reg} model (Eq. [5]): higher precipitation and lower temperature conditions 1 month before harvest. Accumulated precipitation varied substantially in some cases, with more than 150 mm of rainfall in 3 to 4 weeks. This weather parameter is known to be linked negatively with solar radiation, leading to less solar radiation when accumulated precipitation is high. This may include the effect of shading, which is known to increase the susceptibility to internal browning (Bramlage, 1993). Low light intensity is known to reduce anthocyanin, carbohydrates, acid contents, and ascorbic acid content, which is an oxidative browning inhibitor of apples (Albrigo and Childers, 1970). High precipitation for apple trees may also cause low oxygen stress in the root system.

High precipitation implies high water availability for the apple tree. In combination with cooler temperature, which decreases the rate of transpiration in apples (Snowdon, 1990), these conditions may have an impact on the interaction between fruit growth, water relations, and nutrient transport. Studies on preharvest factors affecting physiological disorders of fruit have hypothesized that these interactions may determine the susceptibility of apples to disorders (Ebel et al., 1993; Ferguson et al., 1999).

In the current literature, causes for internal browning are hypothesized based on chilling injury studies, whose symptoms resemble this disorder, or studies directly related to cellular dysfunction. Meheriuk et al. (1994) suggested that the immediate cause of internal tissue browning is the failure of cell membranes in retaining the phenolic precursors of browning within the vacuole. Knowledge of the factors provoking this membrane failure that led to cell disorganization is still not completely understood. Whether it is a biological, chemical, or environmental stress induced in late season, they all have been suspected to initiate or delay the onset of ethylene climacteric rise, affecting the potential storage life of apples (Dilley and Dilley, 1985).

Regressions with weather and fruit quality data as explanatory variables for soggy breakdown. When considering the effect of fruit quality attributes in conjunction with weather from the growing season, different regressions result from stepwise regression analyses (Table 2). For SBI, the final model was:

\[
SBI = -38.22 + 0.47 \text{Firmness} + 0.09 \text{Prec}_{91-DFB} -H + 0.48(T^\circ C < 5)_{91-DFB} -H + 0.15(T^\circ C < 10)_{31-60 DFB} -H -0.89 (\text{daysprec} > 6.0)_{150-300 DFB} \quad [6]
\]

\[(R^2 = 0.6558; P \leq 0.0001),\text{where Firmness is the measured fruit firmness at harvest, } (T^\circ C < 10)_{31-60} \text{ is the number of hours when mean air temperature is } <10^\circ C \text{ from 31 to } 60 \text{ DFB, and } (\text{daysprec} > 6.0)_{150-300} \text{ is the number of days when accumulated precipitation is } >6.0 \text{ mm from } 0 \text{ to } 30 \text{ DFB. Other weather variables are already defined in Eq. [5]. The estimation of SBI values with the regression equation, including both fruit quality attributes in conjunction with weather from the growing season, is considered adequate with 75% of the predictions that are located in the threshold of ±5% of the observed SBI (RMSE = 4.33, EF = 0.67, and } E = -0.48\).

The main differences from the regression analyses considering only weather conditions on soggy breakdown susceptibility are the implication of fruit firmness at harvest, low temperatures from 31 to 60 DFB, and precipitation from 0 to 30 DFB, with a positive relationship between fruit firmness at harvest and soggy breakdown susceptibility (Ehsani and DeEll, 2013). Firmness varied between 56.9 and 80.2 N over all sites and years of harvest and accounted for only 7% of the variability in SBI. Precipitation and low temperature in the phase from 91 DFB until harvest accounted for 12% and 36% of variability of SBI, respectively. These values were similar to regressions, including only weather condition effects during the entire growing season. There was a greater SBI in the years and sites with more precipitation and lower temperature at least 1 month before harvest. Number of hours <10 °C from 31 to 60 DFB varied between 0 and 42 h and accounted for only 5% of the SBI variability for all sites and years of harvest. Its positive relationship with the susceptibility level of soggy breakdown indicated a greater incidence in sites and years with lower temperatures during 31 to 60 DFB. Number of

<table>
<thead>
<tr>
<th>Variable</th>
<th>Phase of fruit development (DFB)</th>
<th>Parameter estimate</th>
<th>Partial R²</th>
</tr>
</thead>
<tbody>
<tr>
<td>Intercept</td>
<td>—</td>
<td>-4.25&lt;sup&gt;NS&lt;/sup&gt;</td>
<td>—</td>
</tr>
<tr>
<td>Accumulated precipitation</td>
<td>91 DFB until harvest</td>
<td>0.07*</td>
<td>0.1245</td>
</tr>
<tr>
<td>Number of hours when mean air temperature &lt;5 °C</td>
<td>91 DFB until harvest</td>
<td>0.44***</td>
<td>0.3575</td>
</tr>
</tbody>
</table>

Weather conditions combined with fruit quality at harvest effect

<table>
<thead>
<tr>
<th>Variable</th>
<th>Parameter estimate</th>
<th>Partial R²</th>
</tr>
</thead>
<tbody>
<tr>
<td>Intercept</td>
<td>—</td>
<td>-38.22*</td>
</tr>
<tr>
<td>Firmness</td>
<td>0.47*</td>
<td>0.0715</td>
</tr>
<tr>
<td>Number of days when accumulated precipitation &gt;6.0 mm</td>
<td>0–30</td>
<td>-0.89&lt;sup&gt;NS&lt;/sup&gt;</td>
</tr>
<tr>
<td>Number of hours when mean air temperature &lt;10 °C</td>
<td>31–60</td>
<td>0.15*</td>
</tr>
<tr>
<td>Accumulated precipitation</td>
<td>91 DFB until harvest</td>
<td>0.09**</td>
</tr>
<tr>
<td>Number of hours when mean air temperature &lt;5 °C</td>
<td>91 DFB until harvest</td>
<td>0.48***</td>
</tr>
</tbody>
</table>

NS, *, **, ***NSignificant or significant at P ≤ 0.05, 0.01, or 0.001, respectively.
days when accumulated precipitation was >6.0 mm from 0 to 30 DFB varied between 0 and 7 d and accounted for only 6% of the SBI variability in the observations. The negative relationship between this parameter and soggy breakdown susceptibility indicated a greater incidence in sites and years with lower precipitation occurrences during that phase of the growing season. Fruit quality attributes at harvest were tested for their effect alone on SBI, but no parameter came out significant at \( P < 0.05 \).

Fruit quality attributes revealed a positive effect of firmness at harvest on soggy breakdown susceptibility (Table 2), implying a higher incidence when fruit are more firm at harvest. This texture criterion is one of the most important qualities that ‘Honeycrisp’ apples maintain during long periods of storage (Nichols et al., 2008). However, this quality attribute may lead to higher susceptibility to soggy breakdown. Its apple peel is particularly thin and could be punctured easily by other stems of apples during harvesting and transport (DeEll, 2005). Geasiness level, which increases with high maturity at harvest, has been associated with the changes in wax and oil composition of the skin (Moricé and Shortland, 1973) and is known to be high in ‘Honeycrisp’ apples (Watkins et al., 2005). These factors combined may limit the exchange of gas between the atmosphere and the fruit, leading to anaerobic conditions and development of fermentation products. This kind of development has been seen in late-harvested apples of this cultivar (DeEll, 2005), although no relationship with SBI has been reported. The fact that no single fruit quality attribute revealed an effect on SBI supports the concept that the overall interaction with weather conditions during the growing season may account for the soggy breakdown susceptibility.

**Calibration of the SBI\(_{\text{dyn}}\) model.** From the results with only the effect of weather conditions on SBI (Eq. [5]), 12 parameters for the model were established as in Fig. 1 and Table 3. According to the regression (Eq. [5]), a higher importance was given to high precipitation and low temperature from 91 DFB until harvest (BBCH 78 to 80). Lower and upper limits for temperature and precipitation were fixed at temperatures <5 °C and >0.5 mm of accumulated precipitation (Fig. 1B and D) based on the lower threshold temperature for the development of apple trees (Landsberg, 1974) and on the minimum threshold for significant accumulated precipitation due to the rain gauge precision (Environment Canada, 2004). For temperatures between 5 and 20 °C, relative weight parameters were considered to decrease linearly at a rate that depended on the relative weight assigned to temperature above 20 °C (Fig. 1B).

The low values of SBI and overall non-normal distribution of the observed incidence values were important factors to consider in the overall accuracy of the SBI\(_{\text{reg}}\) model. Since the model was built based on data with mostly low incidences of soggy breakdown, it is normal that predictions are best in cases of low susceptibility.

Between observed values and predictions from both SBI\(_{\text{dyn}}\) and SBI\(_{\text{reg}}\) models, important variations in their capacities to predict more or less extreme values of SBI are observed (Fig. 2). SE associated with each are 7.7%, 4.1%, and 5.3%, respectively. As an example, the daily and accumulated SBI during the growing season were illustrated, using the predictions from the SBI\(_{\text{dyn}}\) model, for the sites of Kentville, Newcastle and Norfolk Co. in 2009, Simcoe in 2006, Georgian Bay in 2010, and Norfolk Co. in 2011 (Fig. 3).

The purpose of the SBI\(_{\text{dyn}}\) model is to predict daily levels of susceptibility to the disorder during the entire growing season, to indicate variations of risk index, and to supply a final risk index of SBI to ‘Honeycrisp’ apple producers at harvest time. Therefore, it is appropriate to use multiplicative effects integrated in a dynamic model.

A principal component analysis on the effect of number of hours when temperature is <5 °C showed a greater importance than lower temperature thresholds for the entire growing season. For the phase of 91 DFB until harvest, all numbers of hours when temperature is <5, 10, 15, and 20 °C revealed significant \( (P < 0.05) \) positive correlations with the incidences of soggy breakdown observed in all cases.

A principal component analysis was also conducted on the effect of the number of days with accumulated precipitation >0.5 to 6.0 mm by steps of 0.5 mm on soggy breakdown susceptibility. There was a positive but nonsignificant \( (r = 0.372, P > 0.05) \) correlation between the accumulated precipitation from BBCH 78 to 80 and SBI.

A gradual increase in the relative weight associated with the precipitation function as the values increase from minimum to maximum thresholds, as in the function of temperature (Fig. 1B), would probably lead toward more accurate predictions of SBI. However, since the high precipitation effect is combined with low-temperature conditions to increase soggy breakdown susceptibility, it is difficult to determine the maximum threshold for high precipitation effect. The apples harvested in Kentville (NS) in 2009, which recorded the highest SBI (37%), had the second highest accumulated precipitation (161 mm) and number of hours <5 °C (30 h) for BBCH stages from 78 to 80. However, Franklin in 2011, which had the highest accumulated precipitation (193 mm) and recorded 1 hour <5 °C during the same developmental phase, showed 0% SBI. On a different perspective, the case that accumulated the most number of hours <5 °C, which was Kentville in 2011 with 40 h, also recorded a low amount of precipitation, 33.4 mm between BBCH 78 and 80, showed 10.2% SBI. This supports the higher importance to be assigned to lower temperature when modeling soggy breakdown susceptibility in ‘Honeycrisp’ apples.

The change in daily SBI followed some pattern for each case, as shown in Fig. 3, where the curves of accumulated SBI were results of higher slopes between BBCH stages 78 to 80. In the case of Kentville in 2009, predicted by the SBI\(_{\text{dyn}}\) model, the slope of the curve from BBCH 78 to 80 was almost twice the slope of the Norfolk Co. in 2009 curve for the phase of the growing season. For Newcastle in 2009, SBI became higher than that of Norfolk in 2009, due to 3 d of lower temperature and higher precipitation accumulation conditions preceding harvest time. As for the three other cases illustrated in Fig. 3, they maintained the lowest slopes of SBI during BBCH stages 78 to 80 because there were lower occurrences of both low temperature and high precipitation during this phase.

### Table 3. Description and values of parameters of the soggy breakdown incidence (SBI) model, including the factor of correction for amplitude of the model (SBI\(_{\text{max}}\)), factors of importance for each phenological phase associated with the temperature and precipitation submodels (\( \text{PhenoT} \) and \( \text{PhenoP} \)), factors of importance associated to variations of accumulated precipitation \( [f(\text{Temp}) \text{ and } f(\text{Prec})] \), and results of the selected sensitivity analyses.

<table>
<thead>
<tr>
<th>Phenological phase</th>
<th>Weather parameter</th>
<th>Parameter code</th>
<th>Weather conditions range</th>
<th>Parameter number (see Fig. 1)</th>
<th>Value of each parameter in scenario 1&lt;sup&gt;a&lt;/sup&gt;</th>
<th>Variation in mean SBI (%)&lt;sup&gt;b&lt;/sup&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td>78 &lt; BBCH scale ≤ 80</td>
<td>Temperature</td>
<td>( \text{PhenoT} )</td>
<td>Temperature ≤5 °C</td>
<td>4</td>
<td>1</td>
<td>15</td>
</tr>
<tr>
<td></td>
<td>Precipitation</td>
<td>( \text{PhenoP} )</td>
<td>Precipitation &lt;0.5 mm</td>
<td>10</td>
<td>1</td>
<td>63.2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>( f(\text{Temp}) )</td>
<td>Temperature ≥20 °C</td>
<td>12</td>
<td>0.1</td>
<td>20.7</td>
</tr>
<tr>
<td></td>
<td></td>
<td>( f(\text{Prec}) )</td>
<td>Precipitation &gt;0.5 mm</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<sup>a</sup>Root mean square error (RMSE) = 6.45, efficiency (EF) = 0.28, mean error (\( \bar{E} \)) = -0.04, 14% overestimated cases, 18% underestimated cases, 68% well-estimated cases (at threshold of ±5%).

<sup>b</sup>Using a variation of ±0.1 for the parameter values tested in scenario 1. 
Over this phase of ±45 d, the sites of Kentville, Newcastle, and Norfolk in 2009 accumulated the most precipitation, with 161, 158, and 123 mm, respectively, and recorded the highest number of hours with mean air temperature <10 °C, with 195, 152, and 97 h, respectively. This combination of weather conditions is what led to the highest SBI observed. As for the cases of Simcoe in 2006, Georgian Bay in 2010, and Norfolk in 2011, they accumulated less precipitation and recorded higher temperatures during this phase. A difference between the full bloom and harvest dates was also noticeable between the cases in Fig. 3, where the length of the growing season was longer for the three cases in 2009, which had later harvest dates than the three other cases illustrated.

Evaluation of the LTD-riskV2 model (Bourgeois et al., 2014b) with 7 years of data on soggy breakdown used in this study provided a wider range of incidences predicted (SE = 13.9%) compared with the SBI\(_{\text{dyn}}\) model, although the mean of all predicted incidences was very high (mean = 13.5%) compared with observed values and with predictions from the SBI\(_{\text{dyn}}\) model. The overall predictions with the LTD-riskV2 resulted in 42.9% of well estimated cases, within ±5% of the observed SBI, included in this study. This was actually lower than predictions from the SBI\(_{\text{dyn}}\) model (67.9%) as well as lower than the SBI\(_{\text{reg}}\) model (71.4%). Predictions from the LTD-riskV2 model (Bourgeois et al., 2014b) supported that ‘Honeycrisp’ apples react either to different weather conditions or different weights associated with these weather conditions of temperature and solar radiation, which were highly correlated with the number of days with precipitation.

Just as the LTD-riskV2 model, the SBI\(_{\text{dyn}}\) model was not able to provide good predictions for all cases of SBI. Although, when considering that preharvest weather conditions alone represented 48.0% of the variability in SBI, this initial SBI\(_{\text{dyn}}\) model, with an accuracy of 67.9%, is regarded as a good start for the prediction of soggy breakdown applied to multiple weather conditions.

Sensitivity analyses of the SBI\(_{\text{dyn}}\) model. For the parameters \(\text{PhenoT}\) from BBCH 65 to 78 (Parameters 1, 2, and 3, Fig. 1A) and \(\text{PhenoP}\) from BBCH 65 to 78 (Parameters 7, 8, and 9, Fig. 1C), the relative weights were fixed at zero and no sensitivity analyses were conducted on them.

Parameters 6 and 11 from the SBI\(_{\text{dyn}}\) model (Fig. 1B and D) were varied independently and tested for their effect on the mean of predicted SBI. Variations of relative weight from 0 to 0.1 for the parameter 6 \(f(\text{Temp})\) ≥20 °C and from 0.1 to either 0 or 0.2 for parameter 11 \(f(\text{Prec}) < 0.5 \text{ mm}\) resulted in an increase of 63 and 21%, respectively, in variations from the mean-predicted SBI from the SBI\(_{\text{dyn}}\) model, with all sites and years of harvest confounded (Table 3). These two parameters are sensitive and require greater precision to improve the accuracy of the SBI model, assuming that parameters set at relative weights of 1.0 are truly the most important in predicting SBI after harvest because of the change in susceptibility level indices during the growing season.

Variations in the daily accumulated SBI on soggy breakdown when parameter 6 was at relative weights 0 or 0.1 showed similar slopes in the overall curve, with a difference for the accumulated SBI during BBCH stages 78 to 80 (Table 3). It was normal to detect a high level of sensitivity for the parameter of \(f(\text{Temp})\) since it affected all relative weights associated with temperature conditions >5 °C due to its linear decrease between these two temperatures (Fig. 1B). The variation of relative weight from 0 to 0.1 for this parameter altered the effect of temperature at the end of the growing season, when temperature conditions between 5 and 20 °C were more
frequent. The PhenoT parameter fixed at relative weight value of 1.0 also explained the high increase in the slope for the accumulated SBI during the BBCH stages 78 to 80 when f(Temp) for temperature ≥20 °C had a relative weight increased to 0.1.

The variation of relative weight associated with f(Prec) when precipitation was <0.5 mm from 0.1 to either 0 or 0.2 also revealed a high level of sensitivity for that parameter on mean SBI predicted by SBI_{dyn} (Table 3). The transfer from relative weight 0.1 to 0 implied that there was no SBI change <0.5 mm, decreasing importantly the overall SBI change from BBCH stages 78 to 80. The transfer from 0.1 to 0.2 amplified the effect of precipitation conditions <0.5 mm at a level that depended on the occurrence of low daily accumulated precipitation during this phase. It was important to consider that the use of relative weights 0.1 or 0.2 implied a change in soggy breakdown susceptibility level even when there was no daily precipitation accumulated (Fig. 1D). Therefore, the multiplied effects of temperature and precipitation responses would determine the overall daily ΔSBI from BBCH stages 78 to 80.

Conclusions

Prediction of SBI in ‘Honeycrisp’ apples had not been attempted until now. The SBI_{dyn} model provides a risk level to soggy breakdown at harvest by accumulating SBI values (%) using weather conditions mainly during the BBCH stages 78 to 80 (91 DFB until harvest). Additional evaluations of the SBI_{dyn} model using sets of data from the same sites for future years of harvest need to be conducted for improvement of the accuracy level of this initial model. Results from this study suggest that high firmness could increase the susceptibility level of soggy breakdown in this cultivar. Decrease in malic acid content was the only significant difference between fruit at harvest and after 3 months in storage, with no effect established on the incidence of soggy breakdown.

The long-term applicability of this proposed model is to determine what temporal and spatial conditions are required to reduce or remove completely the susceptibility for soggy breakdown in ‘Honeycrisp’ apples. Studies of apples preharvest fruit maturity development would be considered helpful in the interpretation of the preharvest weather conditions effect on different disorders susceptibility. Also, to maximize storage duration, it would be complementary to analyze more closely the development of these disorders during storage, as combined with predictions of susceptibility levels. This would permit producers to store apples for short term even in high susceptibility years, by removing the apples before soggy breakdown has developed.

Literature Cited


